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JUL 2 2 2003

GROUP 3600

cc:		No. of Pages: 4 (including cover)
City, State Zip: Washington, D.C., 20231		Phone: Fax: (703) 308-3687
Street Address:		
Firm:	United States Patent and Trademark Office	Date / Time: July 21, 2003
To:	Examiner G. Akers Group Art Unit 3624	Re: 09/197,908 Status Request

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Message:

I hereby certify that this correspondence is being filed by facsimile with a confirmation copy being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Status Request, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

PATENT

Paper No.

File: Newmrkt-P1-98

Date: July 21, 2003

Peter K Trzyna (Reg No. 32,601)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

Anthony F. Herbst and Wayne F. Perg

Serial No.

09/197,908

Filed

November 23, 1998

For

DIGITAL COMPUTER SYSTEM AND METHODS FOR

MANAGING AN AUCTION MARKET FOR PREFERRED-

RETURN SECURITIES

Group Art Unit

3624

Examiner

Akers, Geoffrey R.

FAX RECEIVED

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Honorable Commissioner of Patents and Trademarks

JUL 2 2 2003

Washington, D.C. 20231

STATUS REQUEST

GROUP 3600

SIR:

The undersigned attorney, and attorney of record for the above-captioned application, respectfully requests that he be advised of the present status of the above-captioned application.

The Commissioner is reminded that all correspondence in the above-referenced matter should be sent to the address given below.

Respectfully submitted,

Date: July 21, 2003

Peter K. Trzyna

P. O. Box 7131

Chicago, Illinois 60680-7131

(312) 240-0824

(Reg. No. 32,601)

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3624

Examiner

Akers, Geoffrey R.

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

TRANSMITTAL LETTER

SIR:

Please record the following enclosed documents in the above-identified patent application.

> Status Request. 1.

APPLICANT CLAIMS SMALL ENTITY STATUS. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: July 21, 2003

Peter K. Trzyna (Reg. No. 32,601)

P.O. Box 7131 Chicago, IL 60680-7131 (312) 240-0824